

# City of Seattle Boards & Commissions Notice of Appointment

Appointee Name: Richard F. Shordt									
Board/Commission Name:		Position Title:							
Seattle Ethics and Elections Commission		Member							
	firmat	tion required?							
Council Confirmation required?  Appointment OR Reappointment    Voc									
		Yes No							
Appointing Authority:	Date	Appointed:	Term of Position: *						
Council 3/1		<b>'</b> 2019	1/1/2	1/1/2019					
Mayor			to						
Other: Fill in appointing authority			12/31	1/2021					
				Serving remaining term of a vacant position					
Residential Neighborhood:	98117		Contact Phone No.:						
Greenwood									
Background: Richard Shordt serves as Senior Corporate Counsel on T-Mobile's Compliance and Ethics team. Richard's responsibilities are especially relevant to the SEEC Commission's administration and enforcement of Seattle's ethics, elections, lobbying, and whistleblower codes. Prior to moving to Seattle, Richard spent nearly a decade in Washington, D.C. working on Capitol Hill as the Legislative Director for U.S. Representative Marcy Kaptur. In this position, he served as policy advisor and liaison to federal agencies and industry representatives. He was responsible for ensuring staff compliance with the House's Code of Official Conduct. Richard has had extensive interactions with registered lobbyists and activists and coordinated with the House Ethics Committee and Office of Congressional Ethics to maintain familiarity with application ethics guidelines. Richard also spent seven years practicing law in Seattle and Washington, DC, where he developed a sophisticated practice representing clients in connection with civil and criminal litigation.  Richard Shordt earned a bachelor of arts in political science from The University of Toledo and a juris									
doctor from The George Washington University Law School, Washington, DC.									
Authorizing Signature (original signature	e):	Appointin	Appointing Signatory:						
(R) A 11 N1		Bruce A. Harrell, Council President							
K) aug W. Hanell		Seattle Cit	Seattle City Council						

<sup>\*</sup>Term begin and end date is fixed and tied to the position and not appointment date.

### RICHARD F. SHORDT

### **PROFESSIONAL EXPERIENCE**

#### T-Mobile, Bellevue, WA

 $\textit{Senior Corporate Counsel} \mid \text{November 2018 - present}; \textit{Corporate Counsel} \mid \text{September 2017 - October 2018}$ 

### <u>Summary</u>

- Serve as senior corporate counsel on T-Mobile's Compliance and Ethics team with primary focus on providing legal compliance advice to key business and legal stakeholders
- Primary legal adviser to Chief Compliance Officer and Corporate Investigations leadership in connection with privileged, complex, and highly sensitive internal investigations, which includes: scoping and directing internal investigations and engaging with senior business leaders as required
- Serve as primary legal compliance subject matter expert on government contract and sales issues and pay-to-play requirements applicable to T-Mobile's government contracts, which includes: reviewing compliance certifications, advising on compliance risks and controls, coordinating with business leaders to ensure appropriate controls are in place to comply with high-risk statutory and regulatory obligations, and developing and delivering training
- Serve as primary legal compliance subject matter expert on state and local lobbying and government ethics obligations, which includes: advising all T-Mobile directors, officers, and covered employees on campaign contribution limitations, disclosure requirements, and related issues
- Serve as primary legal compliance subject matter expert on company's Speak Up Policy, which
  includes: advising and delivering training to all employees on whistleblower and anti-retaliation issues

### Groff Murphy PLLC, Seattle, WA

Associate | November 2014 - September 2017

### <u>Summary</u>

- Served as outside counsel to government contract and construction industry clients, with primary focus
  on False Claims Act and qui tam litigation, federal investigations, multi-party contract disputes,
  regulatory compliance, complex public works disputes, and whistleblower retaliation
- Advised clients on complex legal issues arising under the U.S. Constitution, False Claims Act, Miller Act, Washington Interlocal Cooperation Act, FAR and DFAR regulations

### Representative Matters

- Lead associate on multi-million dollar lawsuit regarding Alaska Way Viaduct Replacement Project tunneling delay
- Lead associate on multi-million dollar breach of contract lawsuit regarding the U.S. Strategic Command's Replacement Command and Control Facility in Omaha, NE
- Lead associate on several False Claims Act lawsuits at the Hanford Nuclear Reservation
- Lead associate on several federal investigations regarding the Waste Treatment Plant, the Plutonium Finishing Plant, and the Environmental Remediation Contracts at the Hanford Nuclear Reservation
- Lead counsel for national purchasing cooperative regarding Washington public procurement guidelines

### Wilmer Cutler Pickering Hale and Dorr, Washington, DC

Senior Associate, Investigations & Criminal Litigation | January 2014 - October 2014; Associate | 2011 - 2013

#### Summary

- Maintained sophisticated investigations, oversight, white collar litigation, and regulatory compliance practice advising finance, telecommunications, healthcare, and energy industry clients
- Counseled financial and telecommunication industry clients on compliance with federal laws and regulations including: Fair Credit Reporting Act, Servicemembers Civil Relief Act, Regulation Z, Bank Secrecy Act, False Claims Act, ECPA, and other applicable CFPB, GLBA, and FinCEN regulations
- Supervised drafting and revision of customer-facing agreements and corporate policies and procedures
- Coordinated with federal regulators in response to agency enforcement actions and advised clients in connection to compliance with federal consent decrees

 Advised clients on responding to criminal, civil, and grand jury subpoenas and civil investigative demands; and prepared witnesses for court hearings, oversight hearings, and presentations to regulators

### Representative Matters

- Represented pro-bono student plaintiffs in complex Voting Rights Act lawsuit against State of Texas as co-counsel with U.S. Department of Justice
- Represented public-private partnership in connection with parallel federal and state investigations into tax evasion, wire fraud, and embezzlement
- Managed enterprise-wide compliance review of lending, consumer privacy and data security, electronic marketing, and digital/electronic signature practices for mortgage division of Fortune 50 bank
- Managed enterprise-wide review of tax and surcharge pricing policies for national telecommunication company in connection with government investigation
- Represented global financial institution in connection with inquiries by the Department of Justice and SEC into the institution's anti-money laundering deficiencies
- Represented pro-bono defendant in attempted murder trial in Montgomery County, Maryland

### U.S. Representative Chellie Pingree, Washington, DC

Legislative Aide | September 2010 – January 2011

- Senior policy advisor and liaison to Federal agencies and industry representatives for national security, consumer protection, financial services, tax, small business, transportation, and data privacy issues
- Prepared Rules Committee briefing materials, conducted investigative research, and drafted speeches and floor statements

# **U.S. Department of Justice Asset Forfeiture and Money Laundering Section**, Washington, DC *Legal Intern* | January 2010 – May 2010

 Prepared memoranda and motions; assisted professional staff in developing and analyzing legislative, regulatory, and policy initiatives; supported money laundering special investigations working group

# The Honorable T.S. Ellis, U.S. District Court for the Eastern District of Virginia, Alexandria, VA *Judicial Intern* | May 2008 – August 2008

- Researched and analyzed a wide variety of issues arising under state and federal law
- Prepared bench memoranda, briefed the judge, drafted opinions

### U.S. Representative Marcy Kaptur, Washington, DC

Legislative Director | 2007 – 2008; Senior Legislative Assistant | 2005 – 2006; Legislative Assistant | 2002 – 2005; Legislative Correspondent | 2000 – 2001; Staff Assistant | 1999 - 2000

- Directed Congressional staff in establishing, coordinating, and managing all legislative appropriations and authorizing priorities for Ohio's 9th Congressional District
- Prepared Appropriations Committee budget and oversight hearing briefing materials, conducted investigative research, and served as liaison to Federal agency officials and industry representatives for national security, technology, justice, financial services, transportation, energy, and NASA issues

### **EDUCATION, COMMUNITY INVOLVEMENT, INTERESTS**

# **The George Washington University Law School, Washington, DC** *Juris Doctor*, May 2010

■ Journal: The George Washington Law Review, Notes Editor

Publication: Note, *Not Registered to Vote? Sign This, Mail It, and Go Hire a Lawyer*, 78 Geo.

Wash. U. L. Rev. 438 (February 2010)

### The University of Toledo, Toledo, OH

Bachelor of Arts in Political Science, magna cum laude, December 2001

### **Community Involvement:**

- Seattle Infant Development Center, Board Of Directors Secretary
- King County Bar Association, Judiciary and Litigation Committee Member
- Washington State Bar Association
- Legal Aid Society of the District of Columbia, Former Co-Chair of Generous Associates Campaign

### **Seattle Ethics and Elections Commission**

7 Members: Pursuant to SMC 3.70.020, all subject to City Council confirmation, 3-year terms:

- 3 City Council-appointed
- 3 Mayor-appointed
- Other Appointing Authority-appointed (specify): Commission

### Roster:

*D	**G	RD	Position No.	Position Title	Name	Term Begin Date	Term End Date	Term #	Appointed By	
2	М		1.	Member	Nicholas Brown	1/1/18	12/31/20	1	Mayor	
	М		2.	Member	Richard F. Shordt	1/1/19	12/31/21	1	City Council	
5	М		3.	Member	Hardeep Singh Rekhi	1/1/16	12/31/18	1	Mayor	
6	F		4.	Member	Susan Taylor	1/1/19	12/31/21	1	Commission	
6	F		5.	Member	Eileen Norton	1/1/17	12/31/19	1	City Council	
6	М		6.	Member	Brendan Donckers	1/1/17	12/31/19	1	Mayor	
6	М		7.	Member	Bruce Carter	1/1/18	12/31/20	3	City Council	

SELF-IDENTIFIED DIVERSITY CHART				(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	
	Male	Female	Transgender	NB/O/U	Asian	Black/ African American	Hispanic/ Latino	American Indian/ Alaska Native	Other	Caucasian/ Non- Hispanic	Pacific Islander	Middle Eastern	Multiracial
Mayor	3					1			1	1			
Council	2	1								2			
Other		1								1			
Total	5	2				1			1	4			

### Key:

RD Residential Council District number 1 through 7 or N/A

Diversity information is self-identified and is voluntary.

<sup>\*</sup>D List the corresponding *Diversity Chart* number (1 through 9)

<sup>\*\*</sup>G List gender, M= Male, F= Female, T= Transgender, NB= Non-Binary O= Other U= Unknown