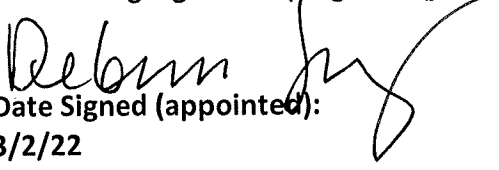




City of Seattle Boards & Commissions Notice of Appointment

Appointee Name: <i>Richard F. Shordt</i>		
Board/Commission Name: <i>Seattle Ethics and Elections Commission</i>		Position Title: <i>Member</i>
<input type="checkbox"/> Appointment OR <input checked="" type="checkbox"/> Reappointment		City Council Confirmation required? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Appointing Authority: <input checked="" type="checkbox"/> City Council <input type="checkbox"/> Mayor <input type="checkbox"/> Other: <i>Fill in appointing authority</i>		Term of Position: * 1/1/2022 to 12/31/2024 <input type="checkbox"/> <i>Serving remaining term of a vacant position</i>
Residential Neighborhood: Greenwood // District 6	Zip Code: 98117	Contact Phone No.: [REDACTED]
Background: <i>Richard Shordt is Managing Corporate Counsel with the Compliance and Ethics group at T-Mobile. He provides legal and compliance advise to senior business leaders on government ethics, whistleblower protection, state and local lobbying, and pay-to-play matters. He is also the primary legal counsel responsible for directing and managing privileged internal investigations into ethical, legal, and other violations of T-Mobile's Code of Business Conduct and related company policies. Before joining T-Mobile, Mr. Shordt spent nearly seven years practicing law in Seattle and Washington, DC where he developed a sophisticated practice representing clients in connection with civil and criminal litigation, as well as responding to inquiries from federal and state regulators and law enforcement agencies. Prior to moving to Seattle Mr. Shordt spent nearly a decade on Capitol Hill in Washington, DC as a legislative aide where he managed the legislative priorities, served as a policy advisor and liaison to federal agencies and industry representatives, and ensured staff compliance with applicable ethics rules and guidelines for two Members of Congress. Mr. Shordt was appointed by the City Council in 2019 for a term that expires December 31, 2021.</i>		
Authorizing Signature (original signature):  Date Signed (appointed): 3/2/22		Appointing Signatory: <i>Debora Juarez</i> <i>Council President</i>

*Term begin and end date is fixed and tied to the position and not the appointment date.

RICHARD F. SHORDT

PROFESSIONAL EXPERIENCE

T-Mobile, Bellevue, WA

Managing Corporate Counsel | July 2019 – present; *Senior Corporate Counsel* | November 2018 – June 2019;
Corporate Counsel | September 2017 - October 2018

Investigations & Post-Investigation Remediation

- Oversee legal team responsible for supporting T-Mobile employee and third-party misconduct investigations, and post-investigation remediation efforts
- Primary legal advisor to Chief Compliance Officer and T-Mobile investigative teams responsible for conducting T-Mobile Code of Business Conduct and Supplier Code of Conduct violations, including conflicts of interest; harassment; retaliation; unauthorized customer account access; fraud (retail and non-retail based); business integrity; and accounting, auditing, and financial matters
- Scope, manage, and direct significant, privileged, high-priority, and cross-functional investigations, which includes conducting fact witness interviews, preparing written reports, liaising with law enforcement, and regularly briefing and updating senior business leaders (including C-Suite)
- Developed and lead post-investigation remediation program, and presently enhancing root cause analysis activities across all investigation functions to better identify trends and systemic concerns in order to ensure company maintains an effective, well-designed investigations program consistent with U.S. Department of Justice and U.S. Sentencing Commission Guidance for Compliance Programs
- Primary legal advisor responsible for drafting, updating, and revising investigation manuals and guidelines for T-Mobile's Corporate Investigations and Asset Protection investigation teams; also responsible for providing targeted trainings to investigators on best practices, applicable legal developments, and attorney-led privileged investigations

Compliance & Ethics Program

- Senior legal advisor on Compliance & Ethics team with responsibilities for developing and prioritizing T-Mobile compliance function strategies and programs (e.g. culture/tone from the top, risk assessments, controls development and testing, training, due diligence, reporting, enforcement, and communications)
- Primary legal advisor on company's Speak Up Policy, which includes updating and revising policies, practices, and procedures that govern incident reporting and whistleblower protections; advising and delivering training to all employees on whistleblower and anti-retaliation issues; and serving as primary legal advisor to T-Mobile's Integrity Line staff for triage, investigation, and related issues
- Primary legal advisor to Chief Compliance Officer and T-Mobile business stakeholders in connection with Data Prioritization and Third-Party Charges Consent Decree compliance, which includes assisting in development and evaluation of controls; providing legal support for testing, monitoring, and evaluation function; supporting development of policies, practices, procedures, and related training
- Primary legal compliance subject matter expert on state and local lobbying and government ethics obligations, which includes advising all T-Mobile directors, officers, and covered employees on campaign contribution limitations, disclosure requirements, and related issues

Public Sector Compliance

- Established and directed T-Mobile's public sector/government contracts compliance program until July 2020, which included: directing compliance risk assessments; managing attorney and paralegal team responsible for supporting and advising T-Mobile for Government sales and operations teams on legal compliance obligations; providing legal advice on various issues related to government contracting, compliance certifications, compliance risks and controls, and supply chain management issues; coordinating with business leaders to ensure appropriate controls are in place to comply with high-risk statutory and regulatory obligations; and developing and delivering training

RICHARD F. SHORDT

Seattle Ethics and Elections Commission, Seattle, WA

Chair January 2021 – present | *Vice Chair* 2020 | *Commissioner* 2019

Summary

- Appointed to serve three-year term with oversight responsibilities of independent City Commission that administers and enforces Seattle's Ethics, Elections, Lobbying, and Whistleblower codes

Groff Murphy PLLC, Seattle, WA

Associate | November 2014 - September 2017

Summary

- Served as outside counsel to government contract and construction industry clients, with primary focus on False Claims Act and *qui tam* litigation, federal investigations, multi-party contract disputes, regulatory compliance, complex public works disputes, and whistleblower retaliation
- Advised clients on complex legal issues arising under the U.S. Constitution, False Claims Act, Miller Act, FAR and DFAR regulations

Representative Matters

- Lead associate on several federal investigations regarding the Waste Treatment Plant, the Plutonium Finishing Plant, and the Environmental Remediation Contracts at the Hanford Nuclear Reservation
- Lead associate on multi-million-dollar breach of contract lawsuit regarding U.S. Strategic Command's Replacement Command and Control Facility in Omaha, NE
- Lead associate on several False Claims Act lawsuits at the Hanford Nuclear Reservation
- Lead counsel for national purchasing cooperative regarding Washington public procurement guidelines

Wilmer Cutler Pickering Hale and Dorr, Washington, DC

Senior Associate, Investigations & Criminal Litigation | January 2014 - October 2014; *Associate* | 2011 - 2013

Summary

- Maintained sophisticated investigations, oversight, white collar litigation, and regulatory compliance practice advising defense, energy, telecommunications, healthcare, and finance industry clients
- Counseled financial and telecommunication industry clients on compliance with federal laws and regulations including: False Claims Act, ECPA, Fair Credit Reporting Act, Servicemembers Civil Relief Act, Regulation Z, Bank Secrecy Act, and other applicable CFPB, GLBA, and FinCEN regulations
- Coordinated with federal regulators in response to agency enforcement actions and advised clients in connection to compliance with federal consent decrees
- Supervised drafting and revision of customer-facing agreements and corporate policies and procedures
- Advised clients on responding to criminal, civil, and grand jury subpoenas and civil investigative demands; and prepared witnesses for court hearings, oversight hearings, and presentations to regulators

Representative Matters

- Represented public-private partnership in connection with parallel federal and state investigations into tax evasion, wire fraud, and embezzlement
- Managed enterprise-wide review of tax and surcharge pricing policies for national telecommunication company in connection with government False Claims Act investigation
- Managed enterprise-wide compliance review of lending, consumer privacy and data security, electronic marketing, and digital/electronic signature practices for mortgage division of Fortune 50 bank
- Represented global financial institution in connection with Department of Justice and SEC inquiries into the institution's anti-money laundering deficiencies
- Represented national defense contractor in connection with an investigation into environmental remediation complications

RICHARD F. SHORDT

U.S. Representative Chellie Pingree, Washington, DC

Legislative Aide | September 2010 – January 2011

- Senior policy advisor and liaison to Federal agencies and industry representatives for national security, defense, consumer protection, financial services, tax, transportation, and data privacy issues
- Prepared Rules Committee briefing materials, conducted investigative research, and drafted speeches and floor statements

U.S. Representative Marcy Kaptur, Washington, DC

Legislative Director | 2007 – 2008; *Senior Legislative Assistant* | 2005 – 2006; *Legislative Assistant* | 2002 – 2005; *Legislative Correspondent* | 2000 – 2001; *Staff Assistant* | 1999 - 2000

- Managed and directed Congressional staff in establishing, coordinating, and managing all legislative appropriations and authorizing priorities for Ohio's 9th Congressional District
- Prepared Appropriations Committee budget and oversight hearing and briefing materials, conducted investigative research, and developed policy initiatives to advance Congresswoman's agenda
- Served as senior staffer and liaison to Federal agency officials and industry representatives for national security, defense, homeland security, technology, justice, transportation, energy, and NASA issues
- Drafted speeches, floor statements, press releases, and media advisories

LEGAL INTERNSHIPS

U.S. Department of Justice Asset Forfeiture and Money Laundering Section, Washington, DC

Legal Intern | January 2010 – May 2010

- Prepared memoranda and motions; assisted professional staff in developing and analyzing legislative, regulatory, and policy initiatives; supported money laundering special investigations working group

The Honorable T.S. Ellis, U.S. District Court for the Eastern District of Virginia, Alexandria, VA

Judicial Intern | May 2008 – August 2008

- Researched and analyzed a wide variety of issues arising under state and federal law
- Prepared bench memoranda, briefed the judge, drafted opinions

EDUCATION, COMMUNITY INVOLVEMENT & MISCELLANEOUS

The George Washington University Law School, Washington, DC

Juris Doctor, May 2010

- Journal: *The George Washington Law Review*, Notes Editor
- Publication: Note, *Not Registered to Vote? Sign This, Mail It, and Go Hire a Lawyer*, 78 Geo. Wash. U. L. Rev. 438 (February 2010)

The University of Toledo, Toledo, OH

Bachelor of Arts in Political Science, magna cum laude, December 2001

Community Involvement:

- Seattle Infant Development Center | President, Board of Directors
- Washington State Bar Association
- District of Columbia Bar
- King County Bar Association, Past Member of Judiciary and Litigation Committee
- Legal Aid Society of the District of Columbia, Past Co-Chair of Generous Associates Campaign

Miscellaneous:

- Department of Defense Top Secret Security Clearance (2004 – 2008)
- Eagle Scout

Seattle Ethics and Elections Commission

7 Members: Pursuant to Seattle Municipal Code 3.70.020, all members subject to City Council confirmation, 3-year terms:

- 3 Mayor- appointed
- 3 City Council- appointed
- 1 Other Appointing Authority: Commission

Roster:

*D	**G	RD	Position No.	Position Title	Name	Term Begin Date	Term End Date	Term #	Appointed By
6	F	7	1	Member	Kristin Hawes	1/1/21	12/31/23	1	Mayor
6	M	6	2	Member	Richard F. Shordt	1/1/22	12/31/24	1	City Council
6	F	3	3	Member	Judith Tobin	1/1/19	12/31/21	1	Mayor
6	F	3	4	Member	Susan Taylor	1/1/19	12/31/21	1	Commission
5	M	6	5	Member	Hardeep Singh Rekhi	1/1/20	12/31/22	2	City Council
6	M	3	6	Member	Zach Pekelis Jones	1/1/20	12/31/22	1	Mayor
6	M	3	7	Member	Jeffery Winmill	1/1/21	12/31/23	1	City Council

SELF-IDENTIFIED DIVERSITY CHART

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)				
	Male	Female	Transgender	NB/ O/ U	Asian	Black/ African American	Hispanic/ Latino	American Indian/ Alaska Native	Other	Caucasian/ Non-Hispanic	Pacific Islander	Middle Eastern	Multiracial
Mayor	1	2								3			
Council	3								1	2			
Other		1								1			
Total	4	3							1	6			

Key:

- *D List the corresponding *Diversity Chart* number (1 through 9)
- **G List *gender*, M= Male, F= Female, T= Transgender, NB= Non-Binary, O= Other, U= Unknown
- RD Residential Council District number 1 through 7 or N/A

Diversity information is self-identified and is voluntary.