

Governance, Native Communities, and Tribal Governments Committee Agenda

Thursday, March 17, 2022 9:30 AM

Remote Meeting. Call 253-215-8782; Meeting ID: 586 416 9164; or Seattle Channel online.

Debora Juarez, Chair Alex Pedersen, Vice-Chair Teresa Mosqueda, Member Kshama Sawant, Member Dan Strauss, Member

Chair Info: 206-684-8805; Debora.Juarez@seattle.gov

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Governance, Native Communities, and Tribal Governments Committee Agenda March 17, 2022 - 9:30 AM

Meeting Location:

Remote Meeting. Call 253-215-8782; Meeting ID: 586 416 9164; or Seattle Channel online.

Committee Website:

https://www.seattle.gov/council/committees/governance-native-communities-and-tribal-govts

This meeting also constitutes a meeting of the City Council, provided that the meeting shall be conducted as a committee meeting under the Council Rules and Procedures, and Council action shall be limited to committee business.

Pursuant to Washington State Governor's Proclamation No. 20-28.15 and Senate Concurrent Resolution 8402, this public meeting will be held remotely. Meeting participation is limited to access by the telephone number provided on the meeting agenda, and the meeting is accessible via telephone and Seattle Channel online.

Register online to speak during the Public Comment period at the 9:30 a.m. Governance, Native Communities, and Tribal Governments Committee meeting at

http://www.seattle.gov/council/committees/public-comment.

Online registration to speak at the Governance, Native Communities, and Tribal Governments Committee meeting will begin two hours before the 9:30 a.m. meeting start time, and registration will end at the conclusion of the Public Comment period during the meeting. Speakers must be registered in order to be recognized by the Chair.

Submit written comments to Councilmember Juarez at Debora.Juarez@seattle.gov

Sign-up to provide Public Comment at the meeting at

http://www.seattle.gov/council/committees/public-comment

Watch live streaming video of the meeting at

http://www.seattle.gov/council/watch-council-live

Listen to the meeting by calling the Council Chamber Listen Line at

253-215-8782 Meeting ID: 586 416 9164

One Tap Mobile No. US: +12532158782,,5864169164#

Please Note: Times listed are estimated

- A. Call To Order
- B. Approval of the Agenda
- C. Public Comment
- D. Items of Business
- 1. Office of Intergovernmental Relations (OIR) presentation on "Federal Funding Designated for Tribes; and City Work with Federal Agencies on Tribal Matters"

<u>Supporting</u>

Documents: Office of Intergovernmental Relations Memo

Briefing and Discussion

Presenters: Tim Reynon, Sierra Howlett Browne, OIR

2. Appt 02114 Appointment of Evan D. Chinn as member, Civil Service

Commission, for a term to December 31, 2024.

<u>Attachments:</u> Appointment Packet

Briefing, Discussion, and Possible Vote

Presenter: Andrea Schelle, Executive Director, Civil Service

Commission

3. Appt 02116 Reappointment of Richard F. Shordt as member, Seattle Ethics

and Elections Commission, for a term to December 31, 2024.

<u>Attachments:</u> <u>Appointment Packet</u>

Briefing, Discussion, and Possible Vote

Presenter: Wayne Barnett, Executive Director, Seattle Ethics and

Elections Commission

E. Adjournment



600 Fourth Ave. 2nd Floor Seattle, WA 98104

Legislation Text

File #: Inf 2015, Version: 1

Office of Intergovernmental Relations (OIR) presentation on "Federal Funding Designated for Tribes; and City Work with Federal Agencies on Tribal Matters"

City of Seattle Office of Intergovernmental Relations

Gael D. Tarleton, Interim Director Bruce A. Harrell, Mayor



MEMORANDUM

TO: Seattle City Council

Committee Chairwoman Juarez

Committee on Governance, Native Communities, and Tribal Governments

FROM: Gael D. Tarleton

Interim Director, Office of Intergovernmental Relations

DATE: March 17, 2022

SUBJECT: Introduction of Tim Reynon, OIR Tribal Relations Director

Tim joined OIR in early February 2022 and has been working closely with several city departments. He also has had initial introductions to tribal leaders throughout Washington state.

Tim is an enrolled member of the Puyallup Tribe and a former member of the Puyallup Tribal Council. He served the Puyallup Tribe for the past 22 years as a tribal attorney, Human Resources/People Operations Director, and Council Member. He has served on numerous tribal, state, and local committees, commissions, and task forces representing tribal interests and bringing tribal perspectives to important policy discussions.

We are excited to have Tim join our team to help us strengthen and develop a healthy, respectful, government-to-government relationship with the tribes in this region and throughout the state.

Here are more details on the depth and breadth of experience Tim brings to the City.

Professional Experience

People Operations Director/Compliance Officer (2021)

Puyallup Tribal Health Authority – Tacoma, Washington

Led PTĤA's human resources department's transition to a people operations model. Designed and implemented a cultural screening process to ensure more culturally competent candidates were hired. Started the development of a new, culturally focused on-boarding program to integrate new hires into the tribe's and PTHA's organizational culture. Lead a team of HR professionals in providing quality services to all PTHA employees and management. Oversaw PTHA's compliance functions, including contract review, HIPAA compliance, complaint investigation and response.

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Tribal Council Member (2014 – 2020)

Puyallup Tribe of Indians – Tacoma, Washington

Successfully ran campaigns for election (2014), and re-election (2017), to the Puyallup Tribe's governing body, the Puyallup Tribal Council. Served as one of seven Council members that oversaw and lead the overall operation of the Tribe and its entities. Established policies and procedures for the Tribe to protect the health and welfare of approximately 5600 enrolled tribal members throughout the world. Assisted with the development and management of the Tribe's budgets. As a representatives of the Tribe's sovereign government, participated in the government-to-government consultation process with federal, state, and local agencies. Drafted oral and written testimony and testified before congressional and state legislative committees. Served as a Board member of the Puyallup Tribal Health Authority.

Achievements:

- Helped lead a coalition of community stakeholders to draft and successfully pass Initiative 940 (2018). Visited nearly every tribe in Washington state during a Rez-2-Rez tour and met with tribal leaders and community members to receive input and gather support for the initiative.
- Lead a team of staff and tribal members to develop and implement wraparound services for our tribal community.
- Drafted and passed an amendment to the Tribe's Election Code that restored members rights to assemble and discuss political issues on tribal lands.
- Improved communication with members and staff through the establishment of a Communications Department, quarterly membership meetings, monthly prayer meetings, and monthly community conversation meetings, and participating in annual staff meetings at various tribal entities.
- Increased accountability of the Tribal government by drafting and proposing a Tribal Council Code of Conduct to govern the actions of elected tribal officials, and an Open Records Ordinance to allow members access to tribal records and information.
- Oversaw the design and construction of a world-class hotel casino resort and implemented a new player tracking system in the Tribe's casinos.
- Worked with tribal youth to draft and adopt the Puyallup Tribal Youth Council Constitution & Bylaws to establish a council of youth to advise the Tribal Council on issues important to our youth.
- Advocated to change the per capita payment distribution system to a performance-based system.

Co-Founder (2013 - 2016)

Native American Community and Child Welfare Advocates – Puyallup, Washington

Together with my sister, we created a 100% Native-owned and managed consulting group focused on strengthening tribal communities for today and for future generations. We assisted tribes seeking to codify policies and practices related to child welfare, human resources, and tribal administration. We provided a wide variety of services geared toward strengthening tribal communities.

Executive Director, Human Resources, Training & Education (2000 - 2013)

Puyallup Tribe of Indians – Tacoma, Washington

 Established and led a highly respected team of professionals and associates dedicated to the highest levels of integrity and professionalism that provided organizational leaders and

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employees with valuable information and guidance that allowed the Tribe to remain lawsuit-free during most of our years of service.

- Integrated all the Tribe's HR, training, and educational resources into one unified, results-driven division of the tribal government.
- Led team discussion and decision-making involving division vision and strategy, guiding principles, and team performance expectations.
- Directed all aspects of the Tribe's human resource functions, including recruiting, hiring, benefits & compensation, employee relations, training & development, workplace safety and compliance.
- Participated as a member of the tribal government's executive management team that led the Tribe's strategic planning process and managed its operations.
- Guided and advised the Tribal Council and all levels of tribal government and other tribal enterprises and entities on best practices to ensure compliance with all applicable employment laws and policies.
- Provided executive leadership and advice to all other HR departments within the various tribal enterprises and entities.
- Drafted and reviewed legal documents, including contracts, ordinances, resolutions, releases, waivers, separation agreements, RIF notifications.
- Led and facilitated the recruitment and hiring process for the Tribe's top executives and directors.

Achievements:

- Stabilized the Tribe's hiring practices by developing and implementing a new hiring process that allowed the Tribe to implement consistently the Tribe's Indian Preference and anti-discrimination policies. This led to a workforce composed of 80% Native American employees, including 61% Puyallup tribal members, and 78% of all supervisory positions filled with tribal members, the highest rates of all tribal entities.
- Developed and implemented the Tribe's Anti-Harassment policy and investigation process.
- Successfully negotiated several employment-related disputes that allowed the tribe to avoid
 potentially costly lawsuits, keeping the Tribe litigation-free in the area of employmentrelated disputes.
- Led and directed the creation and implementation of a new compensation system that included the drafting of a compensation philosophy and policy.
- Led a successful workforce development team that turned around a non-compliant Workforce Investment Act (WIA) program and turned it into an effective resource for helping community members overcome barriers to employment and allowing them to obtain permanent, unsubsidized employment.
- Developed and presented several proposals to consolidate all the Tribe's HR functions under one central HR Department to provide uniform hiring practices and employment policies throughout all tribal enterprises and entities.

Staff Attorney (1998 - 2000)

Puyallup Tribe of Indians – Tacoma, Washington

Represented the tribal government in state and tribal courts in the area of Indian child welfare dependency cases. Provided legal advice to the Tribal Council and tribal agencies in the area of employment law, personnel policies and procedures, contracts, federal housing law, Indian law.

Achievements:

Drafted various tribal codes, ordinances, and resolutions, including the Tribal Housing Code. Drafted and/or assisted in the development of various personnel policies.

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Professional Organizations and Affiliations

Pierce County Equity Review Committee, Tribal Representative, December 2021 to present Represent tribal interests and bring a tribal perspective to the Committee's review of the County Executive's tasks requested by the County Council and provide recommendations to the Council on establishing an institutional structure that will help the County become a more culturally competent organization in all aspects of the delivery of public services, employment, contracting, policy making budgeting and public investments.

Tacoma-Pierce County Health Department, Participatory Policymaking Co-Design Team, Team Member/Co-Facilitator, February 2021 to present

Help facilitate co-design team that is the Health Department's core decision-making body of their health equity policy project and guides information gathering, brainstorming, and development of policy

Washington Criminal Justice Training Commission, Commissioner, Tribal Representative Position, February 2021 to present

Bring a tribal perspective to the Commission's work of establishing standards and providing training to criminal justice professionals, including peace officers and local corrections officers, and certifying, and when necessary de-certifying, peace officers.

Public Defender Association, Board Member, September 2020 to present, Secretary, January 2021 to present

Participate as a member of the Board of Directors in managing the affairs of PDA

Washington Coalition on Police Accountability, May 2020 to present

Built a coalition of diverse groups of impacted families and leaders from disproportionately impacted communities to discuss ways to change police culture and improve accountability and transparency of law enforcement.

Helped draft legislation and work with state legislators to get it passed.

Drafted oral testimony and written comments for submission to state legislative committees.

Testified numerous times before state legislative committees.

Engage communities and law enforcement in conversations about ways to rebuild trust between communities and law enforcement.

Pierce County Law & Justice Public Review Committee, District 1 Representative, September - November 2020

Represented County District 1 on the committee that reviewed the County's criminal justice systems and provided recommendations to the County Council regarding ways to address the disproportionate impact the criminal justice system has on black, brown, and other people of color.

Governor's Task Force on Independent Investigations of Police Use of Force, Member, July – November 2020

Governor appointee to task force that provided recommendations to the governor regarding legislation for creating a new system of completely independent investigations of police use of force.

Affiliated Tribes of Northwest Indians, Law & Justice Committee, Co-Chair, October 2018 to August 2020

Lead and organize tribal leaders in discussions regarding law and justice issues affecting Indian Country in the Northwest.

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De-Escalate WA, Co-Chair, March 2017 to December 2018 Helped build and lead a coalition of impacted families and leaders from disproportionately impacted communities to collect signatures for Initiative 940 and get it passed by nearly 60% of Washington voters.

Joint Legislative Task Force on the Use of Deadly Force in Community Policing, Governor's Appointee, Governor's Office of Indian Affairs, June 2016 to November 2016 Governor's appointee to task force that provided the State Legislature with recommendations on how to reduce violent interactions between police and communities.

Boeing Employee Credit Union (BECU) Board of Directors, March 2007 to March 2009; Governance Committee, April 2007 to March 2008; Supervisory Committee, April 2008 to April 2010

Puyallup Tribal Housing Authority Board of Commissioners, Puyallup Tribe of Indians, Chairman, October 2004 to January 2007; Commissioner from November 2003 to January 2007

Northwest Indian Bar Association, President, 1999 to 2000, Secretary, 1998-99

Admitted to Practice

Washington State Bar Association (WSBA), 1999; U.S. District Court, Western District of Washington, 1999; Tribal Courts: Nisqually, Swinomish, Port Gamble S'Klallam, Squaxin Island, 1999; Tulalip, Muckleshoot, 1998; Puyallup, 1996

Education

Juris Doctorate, J. Reuben Clark Law School, Brigham Young University - Provo, UT

Bachelor of Social Work, Magna Cum Laude, Brigham Young University - Hawaii – Laie, HI Social Work/Political Science, double major; Japanese minor



600 Fourth Ave. 2nd Floor Seattle, WA 98104

Legislation Text

File #: Appt 02114, Version: 1

Appointment of Evan D. Chinn as member, Civil Service Commission, for a term to December 31, 2024.

The Appointment Packet is provided as an attachment.



City of Seattle Boards & Commissions Notice of Appointment

Appointee Name: Evan D. Chinn						
Board/Commission Name: Civil Service Commission		Position Title: Member				
Appointment OR Reappointment	City Council Confirmation required? Yes No					
Appointing Authority: City Council Mayor Other: Fill in appointing authority	Term of Position: * 1/1/2022 to 12/31/2024					
Residential Neighborhood: Maple Leaf		ining term of a vacant position Contact Phone No.:				
Background: Mr. Chinn is an attorney, Human Resources Dir Administration Division Director for the City of graduated from the University of Washington State Court of Appeals, Div. I, and years on private law practice, Mr. Chinn joined Labor Relations Coordinator, and was promote for systemic improvements to the employee excolor. As Human Resources Director for the City Equity Action Plan and was instrumental in get position. As a long-time public servant, trained Seattle employee and current executive of a lart to serve the employees and residents of the Cit Service Commission.	Seattle's Departi School of law in 2 then as a field at the City of Seatt d to HR Director. perience for 11,0 y of Lynwood, Mi ting the City's fir mediator, labor rge, local municipy y of Seattle as an	ment of Construction & Inspections. He 2003, worked as a judicial clerk for the ttorney for the NLRB. Following several cle, Department of Transportation as a Mr. Chinn served on Seattle's taskforce 200+ staff, especially for persons of the Chinn developed Lynwood's first cst Race and Social Justice Coordinator and employment attorney, former pality, Mr. Chinn is uniquely equipped in appointed member of the Seattle Civil				
Authorizing Signature (original signature): Date Signed (appointed): 3/2/22	Appointing S Debora Juare Seattle City C	ediffectation existing on the control of the contro				

^{*}Term begin and end date is fixed and tied to the position and not the appointment date.

EVAN D. CHINN

- Skilled in navigating complex labor and employee issues to ensure the organization meets its financial, operational, and legal goals.
- Experience in traditional labor law, union matters, and complex employee issues with proven ability to work collaboratively management and labor.
- Attorney, trained mediator & EEO Investigator.
- Leader in championing Diversity, Equity, and Inclusion across organizations.

EXPERIENCE

January 2019 - Present

HUMAN RESOURCES DIRECTOR, CITY OF LYNNWOOD

Trusted advisor to the Mayor (Chief Executive), managers, supervisors, and staff on Labor & Employment matters, investigations, organizational development, performance management, and leadership.

- Conduct and oversee investigations of internal and administrative claims.
- -Work with retained counsel on tort, employment, and tax matters.
- -Work directly with 5 different union-represented groups on negotiations, grievances, Unfair Labor Practice charges, contract interpretation.
- -Ensure compliance with wage & hour law, disability accommodation.
- -Oversee or directly work on hiring and terminations, employee leaves, contract negotiations, grievance handling and contract interpretation.
- -Develop and coach a team of 5 HR and Safety professionals.
- -Developed City's first Equity Action Plan and was instrumental in getting the City's first Race and Social Justice Coordinator position.
- -Served as a Leader in responding to the COVID-19 Crisis and instrumental in setting policy and communication during the pandemic.

November 2016 - January 2019

ADMINISTRATION DIVISION DIRECTOR, CITY OF SEATTLE, CONSTRUCTION & INSPECTIONS

Executive with responsibility over Human Resources, Finance, Budget & Accounting, Safety and Facilities for 300+ professional engineering staff, inspections, code enforcement and support staff.

- Advise Department Director and Executive Team on Human Resources best practice in labor & employee relations, performance management, compensation, administration of labor agreements and personnel rules, ADA and EEO compliance, complaint resolution and investigation.
- -Developed Job Shadow program to assist employees of color with advancement opportunities.
- -Served on City's Workforce Equity Task force for systemic improvements to the employee experience for 11,000+ staff, especially for persons of color.

April 2009 – November 2016

HR DIRECTOR/LABOR RELATIONS COORDINATOR, CITY OF SEATTLE, DEPARTMENT OF TRANSPORTATION

Human Resources Executive for Department of 800 employees in a diverse and complex organization that includes employees working in labor, maintenance, engineering, government relations, policy, planning, accounting & finance in multiple locations throughout the city.

HR Director:

- Navigated Department through significant layoffs and related labor & employee issues.
- -Partner with Safety Office to improve worker safety and conduct disciplinary investigations.
- -Led and developed team of 7 human resource professionals with roles in recruiting, hiring, labor relations, ADA, FMLA, HRIS, organizational development and training.

Labor Relations Coordinator:

- -Provided guidance daily on labor relations issues for multiple contracts/bargaining units.
- -Investigated and responded to EEO charges, drafted position statements.
- -Reviewed and revised employee discipline memos and recommendations.
- -Advised managers on best practices to avoid discrimination and harassment allegations.

April 2007 - February 2009

ASSOCIATE ATTORNEY, SEBRIS BUSTO JAMES

- -Advised employers on state and federal anti-harassment and discrimination, labor, leave, and wage & hour
- -Analyzed employee description and duties to avoid union bargaining unit and non-exempt status for local hospital seeking to add new position to workforce.
- -Prepared CLE and presentation materials on current issues in labor & employment law, including union avoidance and political developments affecting labor law.

September 2005 - April 2007

FIELD ATTORNEY, NATIONAL LABOR RELATIONS BOARD

- -Investigated and prosecuted violations of the National Labor Relations Act. Used negotiation skills to coordinate and supervise union representation elections. Conducted hearing on questions concerning representation (supervisory and bargaining unit status). -Zealously investigated over 50 unfair labor practice charges, including drafting thorough witness affidavits, presenting findings to management, performing, and applying legal research and negotiating settlements.
- -Used negotiating skills to resolve contentious disputes between unions and employers regarding bargaining unit composition and representation elections.

August 2003 - August 2005

JUDICIAL CLERK, WASHINGTON STATE COURT OF APPEALS, DIVISION ONE

- -Analyzed trial court record, researched case law and drafted opinions on civil and criminal appeals for Judge
- -Prepared bench memoranda that recommended outcome on appeals of a wide range of civil and criminal matters for three-judge panels.

EDUCATION

University of Washington School of Law, Juris Doctor, 2003

-Moot Court Honor Board; Certificate - Mediation

University of Washington, Spanish Language & Literature, Bachelor of Arts

Civil Service Commission

3 Members: Pursuant to SMC 4.04.250, 1 member subject to City Council confirmation, 3-year terms:

- 1 City Council- appointed
- 1 Mayor- appointed
- 1 Other Appointing Authority: Employee Elected

Roster:

*D	**G	RD	Position No.	Position Title	Name	Term Begin Date	Term End Date	Term #	Appointed By
1	M		1.	Commissioner	Evan D. Chinn	1-01-2022	12-31-24	1	Council
2	F	2	2.	Commissioner	Mary Wideman-Williams	01-01-20	12-31-23	1	Mayor
6			3.	Commissioner	Vacant		12-31-24	1	Employee Elected

SELF-IDENTIFIED DIVERSITY CHART					(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Male	Female	Transgender	NB/O/U	Asian	Black/ African American	Hispanic/ Latino	American Indian/ Alaska Native	Other	Caucasian/ Non- Hispanic	Pacific Islander	Middle Eastern	Multiracial
Mayor		1				1						4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	
Council	1				1								
Other												and the second	
Total	1	1			1	1							

Кеу:

Diversity information is self-identified and is voluntary.

^{*}D List the corresponding *Diversity Chart* number (1 through 9)

^{**}G List gender, M= Male, F= Female, T= Transgender, NB= Non-Binary, O= Other, U= Unknown

RD Residential Council District number 1 through 7 or N/A



600 Fourth Ave. 2nd Floor Seattle, WA 98104

Legislation Text

File #: Appt 02116, Version: 1

Reappointment of Richard F. Shordt as member, Seattle Ethics and Elections Commission, for a term to December 31, 2024.

The Appointment Packet is provided as an attachment.



City of Seattle Boards & Commissions Notice of Appointment

Appointee Name: Richard F. Shordt							
Board/Commission Name:		Position Title:					
Seattle Ethics and Elections Commission	Member						
	City Council Confirmation required?						
Appointment <i>OR</i> Reappointment	∑ Yes □ No						
Appointing Authority:	Term of Posit	ion: *					
	1/1/2022						
Mayor	to						
Other: Fill in appointing authority	12/31/2024						
		aining term of a vacant position					
Residential Neighborhood:	Zip Code:	Contact Phone No.:					
Greenwood // District 6	98117						
provides legal and compliance advise to senior protection, state and local lobbying, and pay-tresponsible for directing and managing privileg violations of T-Mobile's Code of Business Cond. Mobile, Mr. Shordt spent nearly seven years prodeveloped a sophisticated practice representing as well as responding to inquiries from federal Prior to moving to Seattle Mr. Shordt spent nearly seven years prodeveloped a sophisticated practice representing as well as responding to inquiries from federal Prior to moving to Seattle Mr. Shordt spent nearly seven years prodeveloped a sophisticated practice representing as well as responding to inquiries from federal Prior to moving to Seattle Mr. Shordt spent nearly seven years prodeveloped as years and industry representatives, rules and guidelines for two Members of Cong. 2019 for a term that expires December 31, 202	o-play matters. ged internal invuct and related racticing law in ag clients in con- and state regularly a decade of ive priorities, se and ensured st ress. Mr. Shord	He is also the primary legal counsel estigations into ethical, legal, and other company policies. Before joining T-Seattle and Washington, DC where he nection with civil and criminal litigation, lators and law enforcement agencies. In Capitol Hill in Washington, DC as a erved as a policy advisor and liaison to aff compliance with applicable ethics t was appointed by the City Council in					
Authorizing Signature (original signature): Date Signed (appointed): 3/2/22	Appointing Debora Jua Council Pre	rez					

^{*}Term begin and end date is fixed and tied to the position and not the appointment date.

RICHARD F. SHORDT

PROFESSIONAL EXPERIENCE

T-Mobile, Bellevue, WA

Managing Corporate Counsel | July 2019 - present; Senior Corporate Counsel | November 2018 - June 2019; Corporate Counsel | September 2017 - October 2018

Investigations & Post-Investigation Remediation

- Oversee legal team responsible for supporting T-Mobile employee and third-party misconduct investigations, and post-investigation remediation efforts
- Primary legal advisor to Chief Compliance Officer and T-Mobile investigative teams responsible for conducting T-Mobile Code of Business Conduct and Supplier Code of Conduct violations, including conflicts of interest; harassment; retaliation; unauthorized customer account access; fraud (retail and non-retail based); business integrity; and accounting, auditing, and financial matters
- Scope, manage, and direct significant, privileged, high-priority, and cross-functional investigations, which includes conducting fact witness interviews, preparing written reports, liaising with law enforcement, and regularly briefing and updating senior business leaders (including C-Suite)
- Developed and lead post-investigation remediation program, and presently enhancing root cause analysis activities across all investigation functions to better identify trends and systemic concerns in order to ensure company maintains an effective, well-designed investigations program consistent with U.S. Department of Justice and U.S. Sentencing Commission Guidance for Compliance Programs
- Primary legal advisor responsible for drafting, updating, and revising investigation manuals and guidelines for T-Mobile's Corporate Investigations and Asset Protection investigation teams; also responsible for providing targeted trainings to investigators on best practices, applicable legal developments, and attorney-led privileged investigations

Compliance & Ethics Program

- Senior legal advisor on Compliance & Ethics team with responsibilities for developing and prioritizing
 T-Mobile compliance function strategies and programs (e.g. culture/tone from the top, risk assessments,
 controls development and testing, training, due diligence, reporting, enforcement, and communications)
- Primary legal advisor on company's Speak Up Policy, which includes updating and revising policies, practices, and procedures that govern incident reporting and whistleblower protections; advising and delivering training to all employees on whistleblower and anti-retaliation issues; and serving as primary legal advisor to T-Mobile's Integrity Line staff for triage, investigation, and related issues
- Primary legal advisor to Chief Compliance Officer and T-Mobile business stakeholders in connection
 with Data Prioritization and Third-Party Charges Consent Decree compliance, which includes assisting
 in development and evaluation of controls; providing legal support for testing, monitoring, and
 evaluation function; supporting development of policies, practices, procedures, and related training
- Primary legal compliance subject matter expert on state and local lobbying and government ethics obligations, which includes advising all T-Mobile directors, officers, and covered employees on campaign contribution limitations, disclosure requirements, and related issues

Public Sector Compliance

Established and directed T-Mobile's public sector/government contracts compliance program until July 2020, which included: directing compliance risk assessments; managing attorney and paralegal team responsible for supporting and advising T-Mobile for Government sales and operations teams on legal compliance obligations; providing legal advice on various issues related to government contracting, compliance certifications, compliance risks and controls, and supply chain management issues; coordinating with business leaders to ensure appropriate controls are in place to comply with high-risk statutory and regulatory obligations; and developing and delivering training

RICHARD F. SHORDT

Seattle Ethics and Elections Commission, Seattle, WA

Chair January 2021 - present | Vice Chair 2020 | Commissioner 2019

Summary

Appointed to serve three-year term with oversight responsibilities of independent City Commission that administers and enforces Seattle's Ethics, Elections, Lobbying, and Whistleblower codes

Groff Murphy PLLC, Seattle, WA

Associate | November 2014 - September 2017

Summary

- Served as outside counsel to government contract and construction industry clients, with primary focus
 on False Claims Act and qui tam litigation, federal investigations, multi-party contract disputes,
 regulatory compliance, complex public works disputes, and whistleblower retaliation
- Advised clients on complex legal issues arising under the U.S. Constitution, False Claims Act, Miller Act, FAR and DFAR regulations

Representative Matters

- Lead associate on several federal investigations regarding the Waste Treatment Plant, the Plutonium Finishing Plant, and the Environmental Remediation Contracts at the Hanford Nuclear Reservation
- Lead associate on multi-million-dollar breach of contract lawsuit regarding U.S. Strategic Command's Replacement Command and Control Facility in Omaha, NE
- Lead associate on several False Claims Act lawsuits at the Hanford Nuclear Reservation
- Lead counsel for national purchasing cooperative regarding Washington public procurement guidelines

Wilmer Cutler Pickering Hale and Dorr, Washington, DC

Senior Associate, Investigations & Criminal Litigation | January 2014 - October 2014; Associate | 2011 - 2013

Summary

- Maintained sophisticated investigations, oversight, white collar litigation, and regulatory compliance practice advising defense, energy, telecommunications, healthcare, and finance industry clients
- Counseled financial and telecommunication industry clients on compliance with federal laws and regulations including: False Claims Act, ECPA, Fair Credit Reporting Act, Servicemembers Civil Relief Act, Regulation Z, Bank Secrecy Act, and other applicable CFPB, GLBA, and FinCEN regulations
- Coordinated with federal regulators in response to agency enforcement actions and advised clients in connection to compliance with federal consent decrees
- Supervised drafting and revision of customer-facing agreements and corporate policies and procedures
- Advised clients on responding to criminal, civil, and grand jury subpoenas and civil investigative demands; and prepared witnesses for court hearings, oversight hearings, and presentations to regulators

Representative Matters

- Represented public-private partnership in connection with parallel federal and state investigations into tax evasion, wire fraud, and embezzlement
- Managed enterprise-wide review of tax and surcharge pricing policies for national telecommunication company in connection with government False Claims Act investigation
- Managed enterprise-wide compliance review of lending, consumer privacy and data security, electronic marketing, and digital/electronic signature practices for mortgage division of Fortune 50 bank
- Represented global financial institution in connection with Department of Justice and SEC inquiries into the institution's anti-money laundering deficiencies
- Represented national defense contractor in connection with an investigation into environmental remediation complications

RICHARD F. SHORDT

U.S. Representative Chellie Pingree, Washington, DC

Legislative Aide | September 2010 – January 2011

- Senior policy advisor and liaison to Federal agencies and industry representatives for national security, defense, consumer protection, financial services, tax, transportation, and data privacy issues
- Prepared Rules Committee briefing materials, conducted investigative research, and drafted speeches and floor statements

U.S. Representative Marcy Kaptur, Washington, DC

Legislative Director | 2007 – 2008; Senior Legislative Assistant | 2005 – 2006; Legislative Assistant | 2002 – 2005; Legislative Correspondent | 2000 – 2001; Staff Assistant | 1999 - 2000

- Managed and directed Congressional staff in establishing, coordinating, and managing all legislative appropriations and authorizing priorities for Ohio's 9th Congressional District
- Prepared Appropriations Committee budget and oversight hearing and briefing materials, conducted investigative research, and developed policy initiatives to advance Congresswoman's agenda
- Served as senior staffer and liaison to Federal agency officials and industry representatives for national security, defense, homeland security, technology, justice, transportation, energy, and NASA issues
- Drafted speeches, floor statements, press releases, and media advisories

LEGAL INTERNSHIPS

U.S. Department of Justice Asset Forfeiture and Money Laundering Section, Washington, DC Legal Intern | January 2010 – May 2010

• Prepared memoranda and motions; assisted professional staff in developing and analyzing legislative, regulatory, and policy initiatives; supported money laundering special investigations working group

The Honorable T.S. Ellis, U.S. District Court for the Eastern District of Virginia, Alexandria, VA Judicial Intern | May 2008 - August 2008

- Researched and analyzed a wide variety of issues arising under state and federal law
- Prepared bench memoranda, briefed the judge, drafted opinions

EDUCATION, COMMUNITY INVOLVEMENT & MISCELLANEOUS

The George Washington University Law School, Washington, DC

Juris Doctor, May 2010

The George Washington Law Review, Notes Editor

Publication:

Journal:

Note, Not Registered to Vote? Sign This, Mail It, and Go Hire a Lawyer, 78 Geo.

Wash. U. L. Rev. 438 (February 2010)

The University of Toledo, Toledo, OH

Bachelor of Arts in Political Science, magna cum laude, December 2001

Community Involvement:

- Seattle Infant Development Center | President, Board of Directors
- Washington State Bar Association
- District of Columbia Bar
- King County Bar Association, Past Member of Judiciary and Litigation Committee
- Legal Aid Society of the District of Columbia, Past Co-Chair of Generous Associates Campaign

Miscellaneous:

- Department of Defense Top Secret Security Clearance (2004 2008)
- Eagle Scout

Seattle Ethics and Elections Commission

7 Members: Pursuant to Seattle Municipal Code 3.70.020, all members subject to City Council confirmation, 3-year terms:

- 3 Mayor- appointed
- 3 City Council- appointed
- 1 Other Appointing Authority: Commission

Roster:

*D	**G	RD	RD	RD	Position No.	Position Title	Name	Term Begin Date	Term End Date	Term #	Appointed By
6	F	7	1	Member	Kristin Hawes	1/1/21	12/31/23	1	Mayor		
6	М	6	2	Member	Richard F. Shordt	1/1/22	12/31/24	1	City Council		
6	F	3	3	Member	Judith Tobin	1/1/19	12/31/21	1	Mayor		
6	F	3	4	Member	Susan Taylor	1/1/19	12/31/21	1	Commission		
5	М	6	5	Member	Hardeep Singh Rekhi	1/1/20	12/31/22	2	City Council		
6	М	3	6	Member	Zach Pekelis Jones	1/1/20	12/31/22	1	Mayor		
6	М	3	7	Member	Jeffery Winmill	1/1/21	12/31/23	1	City Council		

SELF-IDENTIFIED DIVERSITY CHART					(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	
	Male	Female	Transgender	NB/O/U	Asian	Black/ African American	Hispanic/ Latino	American Indian/ Alaska Native	Other	Caucasian/ Non- Hispanic	Pacific Islander	Middle Eastern	Multiracial	
Mayor	1	2			***************************************					3				
Council	3								1	2		and an all an al		
Other		1			area.					1				
Total	4	3							1	6				

Key:

Diversity information is self-identified and is voluntary.

^{*}D List the corresponding *Diversity Chart* number (1 through 9)

^{**}G List gender, M= Male, F= Female, T= Transgender, NB= Non-Binary, O= Other, U= Unknown

RD Residential Council District number 1 through 7 or N/A